

## A Partnership With:



### Introduction

Fiscal Year 2012 is the eighth consecutive year of growth of the Urban Construction Initiative. The UCI program now has 14 localities under agreement to administer their entire construction program. This year, the City of Danville executed the programmatic agreement and began administering their entire urban programs on July 1, 2012. They join the cities of Virginia Beach, Hampton, Richmond, Charlottesville, Harrisonburg, Lynchburg, Colonial Heights, Chesapeake and Newport News and the towns of Blacksburg, Bridgewater, Purcellville and Dumfries in the program.

### Program Accomplishments

The UCI Certification Program, approved by the Federal Highway Administration for implementation in late 2009, is an opportunity for qualified UCI participating localities to proceed with delegated authority by VDOT for project administration and development with streamlined VDOT oversight on federal and state funded projects, much like FHWA's oversight of VDOT. Certification places greater responsibility and control over the urban construction program in the hands of the local government. **The City of Virginia Beach has successfully demonstrated their ability to deliver state and federally funded projects and has become the first locality to qualify under the certification process.**

LAD has continued to hold monthly UCI Workgroup Meetings to develop new ways to enhance communication with our municipal counterparts and to streamline project delivery. This group consists of representatives from 9 different localities, VDOT District and Central Office Staff, and the FHWA. The group has previously been successful in creating the process outlined above for UCI Certification. In addition to preparing the agenda for the UCI Tri-Annual Meetings, the Workgroup has recently established a 12 month strategic plan. The intent of these strategic and programmatic goals are focused on increasing efficiency and effectiveness of the UCI. Please see the attached pdf file for the goals.



Adobe Acrobat  
Document

For the 2012 Satisfaction Survey, the UCI Workgroup included additional questions for this year's survey which focused on project/ program delivery, years of experience, UCI direction/progress, and opportunities to continue to improve communication between localities and VDOT. Responses regarding program satisfaction from both localities and VDOT trended slightly upwards from previous years with 85% saying that the UCI Program is on the right path. While the number of VDOT responses increased, we had a decrease in the number of localities that responded to the survey. The results also clearly indicated that good communication is still occurring between VDOT and the localities.

Similar to last year, the 2012 UCI Annual Meeting was held as a two day event. In addition to providing resources to share lessons learned, year-end accomplishments and to further streamline program delivery, we also included a full day of training which included presentations on Pre-Award Audits, Civil Rights, Work Order/ Claims, and Right of Way (Disposal of Property). The UCI community will continue to gather in person 3 times a year in varying locations. The agenda's for the meetings will be established by the UCI Workgroup and will have a three-pronged approach: business session (updates of program interest), information sharing (lessons learned/technical), and progress on a specific programmatic initiative/focus area led by the workgroup. A link to the agendas, meeting minutes, presentations and handouts for all previous UCI Tri-Annual Meetings held since November 2010 can be found at the following link: <http://www.virginiadot.org/business/local-assistance-firstCities.asp>

### **Financial Table**

As a result of reductions in the urban formula allocations, the commitment of Urban Construction Funds, including reimbursable federal funds and state funds paid directly to the participating municipalities in their quarterly payment, have diminished since 2009. The total financial commitment is shown on the following table:

URBAN CONSTRUCTION INITIATIVE									
Fiscal Year 2012 Financial Summary									
	CITY / TOWN	URBAN CONSTRUCTION ALLOCATIONS		PREVIOUS FUNDING on ACTIVE PROJECTS		PAST PROJECT RECONCILIATION		ANNUAL TOTALS	
		FEDERAL FUNDS (for Reimbursement)	STATE FUNDS (Quarterly Payment)	FEDERAL FUNDS (for Reimbursement)	STATE FUNDS (Quarterly Payment)	FEDERAL FUNDS (for Reimbursement)	STATE FUNDS (Quarterly Payment)	FEDERAL FUNDS (for Reimbursement)	STATE FUNDS (Quarterly Payment)
	FISCAL YEAR 2005	\$2,781	\$2,268	\$54,277	\$29,612	\$6,353	\$26,563	\$63,411	\$58,443
	FISCAL YEAR 2006	\$4,484	\$10,846	\$3,391	\$2,948	\$908	\$1,352	\$8,783	\$15,146
	FISCAL YEAR 2007	\$19,881	\$12,178	\$1,553	\$2,108	\$596	\$760	\$22,030	\$15,046
	FISCAL YEAR 2008	\$17,579	\$19,872	\$3,941	\$19,169	\$155	\$666	\$21,675	\$39,707
	FISCAL YEAR 2009	\$12,234	\$10,096	\$6,513	\$8,852	\$0	\$965	\$18,747	\$19,913
	FISCAL YEAR 2010	\$2,597	\$2,733	\$1,523	\$1,715	\$0	\$0	\$4,120	\$4,448
	FISCAL YEAR 2011	\$4,835	\$1,396	\$0	\$0	\$0	\$0	\$4,835	\$1,396
	HAMPTON	\$0	\$0					\$0	\$0
	RICHMOND	\$0	\$0					\$0	\$0
F	VIRGINIA BEACH	\$0	\$0					\$0	\$0
Y	CHARLOTTESVILLE	\$0	\$0					\$0	\$0
2	BRIDGEWATER	\$0	\$0					\$0	\$0
0	HARRISONBURG	\$0	\$0					\$0	\$0
1	LYNCHBURG	\$0	\$0					\$0	\$0
2	DUMFRIES	\$0	\$0					\$0	\$0
	PURCELLVILLE	\$0	\$0					\$0	\$0
	BLACKSBURG	\$0	\$0					\$0	\$0
	COLONIAL HEIGHTS	\$0	\$0					\$0	\$0
	CHESAPEAKE	\$0	\$0					\$0	\$0
	NEWPORT NEWS	\$0	\$19					\$0	\$19
	FY 2012 TOTALS	\$0	\$19	\$0	\$0	\$0	\$0	\$0	\$19
	TOTALS TO DATE	\$64,391	\$59,408	\$71,198	\$64,404	\$8,012	\$30,306	\$143,601	\$154,118
						TOTAL FEDERAL & STATE FUNDS			\$297,719
NOTES:									
1. Figures in thousands (1,000).									
2. Figures shown for FY12 and Previous Funding on Active Projects are based on allocations made in FY12 Program.									
3. Federal Funds are paid to localities on a reimbursable basis.									
4. State Funds provided to localities as Quarterly Payments and are to be used for state funded projects and/or for local match on Federally Funded Projects.									
5. Includes SAFETEA-LU and Local Partnership Funds									

### Goals for Fiscal Year 2013

- Further define the project delivery requirements for the UCI Certification Program.
- Continue to assess program level performance through implementation of the annual satisfaction survey and tracking of quantifiable performance measures.
- Provide training and development opportunities to enhance local and VDOT competencies, reduce risk, and improve oversight.
- Facilitate developing and implementing the 12 month strategic plan.

### Long Term Vision / Goals

- Continue the VDOT shift to a stewardship and oversight role – much like FHWA

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